

SEP 18 2001

JCT1 Rec'd PCT/PTO SEP 18 2001
Ex Mail No.: EL 501 641 596 USFORM PTO-1590
(F.E.V. 11-94)U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

9408-042-999

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)

09/936969

INTERNATIONAL APPLICATION NO.
PCT/US00/06950INTERNATIONAL FILING DATE
March 16, 2000PRIORITY DATE CLAIMED
March 19, 1999

TITLE OF INVENTION

METHODS FOR DETECTION OF NUCLEIC ACID POLYMORPHISMS
USING PEPTIDE-LABELED OLIGONUCLEOTIDES AND ANTIBODY ARRAYSAPPLICANT(S) FOR DO/EO/US
Iris et al.

Applicant herewith submits to the United States Designated/ Elected Office (DO/EO/US) the following items under 35 U.S.C. 371:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☐ is transmitted herewith (required only if not transmitted by the international Bureau).
 - b. ☐ has been transmitted by the International Bureau.
 - c. ☒ is not required, as the application was filed in the United States Receiving Office (RO/US)
6. ☐ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☒ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)) (executed by François J.-M. Iris, Jean-Louis Pourny, and Isabelle Treich on September 17, 2001, August 29, 2001, and September 5, 2001, respectively).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A FIRST preliminary amendment, including Exhibits A and B.
☐ A SECOND or SUBSEQUENT preliminary amendment.
14. ☐ A substitute specification.
15. ☒ A Revocation and Power of Attorney, executed by Douglas G. Watson on behalf of ValiGen (US), Inc on August 21, 2001.
16. ☒ A Verified Statement Claiming Small Entity Status - Small Business Concern, executed by Douglas G. Watson on behalf of ValiGen (US), Inc on August 21, 2001.

INTERNATIONAL APPLICATION NO.
PCT/US00/06950

09/936969

INTERNATIONAL FILING DATE
March 16, 2000

17. ☒ The U.S. National Fee (37 CFR 1.492(a)(1)-(5)) and other fees as follows:

CLAIMS				
(1)FOR	(2)NUMBER FILED	(3)NUMBER EXTRA	(4)RATE	(5)CALCULATIONS
TOTAL CLAIMS	17 - 20	0	X \$ 18.00	\$ 0.00
INDEPENDENT CLAIMS	9 - 3	6	X \$ 80.00	480.00
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$ 270.00	\$ 270.00
BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5)):				
CHECK ONE BOX ONLY				
<input checked="" type="checkbox"/> International preliminary examination fee paid to USPTO (37 CFR 1.482)				\$ 690
<input type="checkbox"/> No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2))				\$ 710
<input type="checkbox"/> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO				\$ 1000
<input type="checkbox"/> International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2) to (4)				\$ 100
<input type="checkbox"/> Filing with EPO or JPO search report				\$ 860
Surcharge of \$130.00 for furnishing the National fee or oath or declaration later than 20 30 mos. from the earliest claimed priority date (37 CFR 1.492(e)).				
TOTAL OF ABOVE CALCULATIONS			=	1,440.00
Reduction by 1/2 for filing by small entity, if applicable. (Note 37 CFR 1.9, 1.27, 1.28).			-	\$ 720.00
SUBTOTAL			=	720.00
Processing fee of \$130.00 for furnishing the English Translation later than 20 30 mos. from the earliest claimed priority date (37 CFR 1.492(f)).			+	
TOTAL FEES ENCLOSED			\$	720.00

- a. ☐ A check in the amount of \$ _ to cover the above fees is enclosed.
- b. ☒ Please charge Deposit Account No. 16-1150 in the amount of \$ 720.00 to cover the above fees. A copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 16-1150. A duplicate of this sheet is enclosed for accounting purposes.

18. ☐ Other instructions

19. ☒ All correspondence for this application should be mailed to

PENNIE & EDMONDS LLP
1155 AVENUE OF THE AMERICAS
NEW YORK, NEW YORK 10036-2711

20. ☒ All telephone inquiries should be made to (212) 790-2803

Adriane M. Antler
By: Stephen K. Sullivan
NAME

Adriane M. Antler
Stephen K. Sullivan
SIGNATURE

32,605
By: 43,171
REGISTRATION NUMBER

September 18, 2001
DATE